



## FEE SCHEDULE IRA/CUSTODIAL ACCOUNT

ANNUAL CUSTODY FEE	
ACCOUNTS HOLDING STANDARD ASEETS	
Includes, but is not limited to; Mutual Funds, Exchange-Traded Funds, Equity Securities, and Fixed-Income Investments	No Custodial Account Fee. However, applicable transaction fees apply (see below).
ACCOUNTS HOLDING ALTERNATIVE INVESTMENTS	
<b>Basic</b> - Includes, but is not limited to, Public Limited Partnerships, Public Limited Liability Companies, and Public REITs	\$125 per account (charged quarterly in arrears and is not pro-rated)
<b>Flex</b> - Includes, but is not limited to, Precious Metals, Promissory Notes or Corporate Debt, Futures Trading Accounts, Trust Deeds/ Real Estate Contracts, Real Estate, Private Stock, Private Limited Partnerships, Private Limited Liability Companies, Private REITS, Perth Mint Certificates, Annuities and other Private Placements or Non-Standard Assets	Please refer to the Flex Alternative Asset Schedule Below
FEES (PER TRANSACTION) <sup>1</sup>	
Equity Securities / Exchange-Traded Funds	\$6.95
No Transaction Fee Mutual Funds (Online)	No Charge
Transaction Fee Mutual Funds (Online)	\$9.95 Processing Fee
Options (Online and Broker Assisted)	\$18 Allocation Fee (plus \$1.00 per contract)
Manual Option Exercise Request (Online and Broker Assisted)	\$25 (plus \$1.00 per contract)
Fixed Income Investments – Bonds, Mortgage-Backed Securities, OTC Corporate Bonds, Government Securities, Collateralized Mortgage Obligations, Money Market Instruments/CDs, Municipal Bonds, Unit Investment Trusts, and Zero Coupon Bonds	\$35 Allocation Fee
OPERATION AND MISCELLANEOUS FEES (charged at time service is rendered)	
Paper Documents Fee (statements, trade confirmations, etc.)	\$30 per quarter (unless set up by eDelivery)
Transfer Out (Full or Partial)	\$100
Voluntary Corporate Action Fee (includes but not limited to tender offers and warrant exercises)	\$50
Mandatory Corporate Action Fee (includes but not limited to Fixed Income Maturities, mergers, reverse stock splits, name changes)	\$25
Physical Certificate Deposit	\$50 / certificate
Physical Certificate Reregistration	As incurred by ETCB from Transfer Agent
DWAC Charges	\$75 / item
Free Deliveries (DTC)	\$25 / security
Long Positions (Sub Penny Book Entry) - Monthly Fee	\$0.0000006 / share
Worthless Securities Removal Processing Charge	\$20 / security
Wires Out	\$30 *International wire fee = \$50
Overnight Check Fee	\$50
Check Stop Payment & Returned ACH Fees	\$25
Overnight Mail	As incurred
Real Time Quotes	Fees passed through as incurred
Non-DTC eligible Security Transaction Fee DRS (Direct Registration System) Fee (includes but not limited to physical settlement fee/Transfer agent fee / processing fee)	As incurred by ETCB
FINRA TAF and ORF Fees	As incurred by ETCB
Full Termination Fee	\$200
Distribution of Alternative Investment Re-registration Fee	\$100 per asset
Asset Sponsor Alternative Investment Re-registration Fee	Fee charged by Asset Sponsor

<sup>1</sup> Mutual fund and brokerage transactions are executed by a third-party mutual fund platform provider.

**INVESTMENT PRODUCTS: NOT FDIC INSURED • NO BANK GUARANTEE • MAY LOSE VALUE**  
**P.O. BOX 451249 • WESTLAKE, OH 44145 • PHONE: (877) 819-8918 • FAX: 440-365-1447 • EMAIL: [EASOPSTEAM@TRUSTETC.COM](mailto:EASOPSTEAM@TRUSTETC.COM)**

Flex Alternative Asset Schedule	
VALUE OF (FLEX) ALTERNATIVE INVESTMENTS (Includes Flex Alternative Investments in the account but does not include Basic or Standard Assets)	Annual Account Fee Assessed Quarterly on a Prorated Basis
\$1 - \$249,999	\$200
\$250,000 - \$499,999	\$250
\$500,000 - \$999,999	\$300
\$1,000,000 - \$2,499,999	\$400
\$2,500,000 and over	Negotiated

**Note:** ETC Brokerage Services is an affiliate of Equity Trust Company; however, your brokerage account (should you open one) is maintained with ETC Brokerage Services and all brokerage services and transactions in the brokerage account will be rendered and effected by ETC Brokerage Services (not Equity Trust Company). There is NO ADDITIONAL fee to establish or maintain this account. However, to the extent applicable, if you have established a brokerage account with ETC Brokerage Services, the above per transaction fees correspond to the brokerage transactions executed in your brokerage account. ETC Brokerage Services and International Assets Investment Management are under common control.

Fee Schedule Disclosures

In accordance with the terms of your Account Agreement, you authorize the Custodian to deduct fees from your Account or liquidate assets to pay for such fees. In addition, the Custodian reserves the right to assess a late fee for every thirty (30) calendar days the Account has past-due fees. If you have any questions regarding this fee schedule, please contact a service representative at (877) 819-8918.

NOTE: Cleared funds must be available in the Account in order to facilitate an investment transaction.

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